

# Motilal Oswal Investment Advisors Limited

**Complaints data relating to IPO, FPO, OFS, Rights Issue, QIP, Preferential Issue, SME IPO and FPO including OFS, Buyback of Securities, Delisting of Equity Shares and Substantial Acquisitions of Shares and Takeovers.**

## Data for Complaints for the month of October 2024

SN	Received from	Pending as at the end of last month (September 2024)	Received during the particular month (October 2024)	Resolved during the particular month* (October 2024)	Total Pending during the particular month # (October 2024)	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
<b>Grand Total</b>		<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>

## Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	NIL	NIL	NIL	NIL
2	June 2024	NIL	NIL	NIL	NIL
3	July 2024	NIL	NIL	NIL	NIL
4	August 2024	NIL	NIL	NIL	NIL
5	September 2024	NIL	NIL	NIL	NIL
6	October 2024	NIL	NIL	NIL	NIL
<b>Grand Total</b>		<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

## Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

SN	Year	Carried forward from previous Year	Received during the particular Year	Resolved during the particular Year*	Pending at the end of the particular Year#
1	Calendar Year 2022	NIL	1	1	NIL
2	Calendar Year 2023	NIL	3	3	NIL
3	Calendar Year 2024	Will be updated upon completion of the year			
4	Calendar Year 2025	Will be updated upon completion of the year			
5	Calendar Year 2026	Will be updated upon completion of the year			
<b>Grand Total</b>		<b>NIL</b>	<b>4</b>	<b>4</b>	<b>NIL</b>

**NOTE: MOIAL has not been involved in the Issue Management for the following products since inception: REITs, INVITs, Public and Private Issuance of Debt Securities including Municipal Debt**